OMB NO. 3090-0045

AGENCY INFORMATION SECURITY PROGRAM DATA

1. PERIOD COVERED

A. FROM	B. TO
10/01/94	09/30/95

INTERAGENCY REPORT CONTROL NUMBER

0230-GSA-AN

2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT

DEPARTMENT OF THE TREASURY.

3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.)

(b) (6)
Assistant Director
(Physical Security)
(b) (6)

4. SENIOR OFFICIAL (Section 5.3, E.O. 12356)

George Muñoz
Assistant Secretary (Management)

5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES

A. TOP SECRET	B. SECRET	C. CONFIDENTIAL	D. TOTAL
8	39	112	159

6. ADDITIONAL DECLASSIFICATION AUTHORITIES

A. TOP SECRET	B. SECRET	C. CONFIDENTIAL	D. TOTAL
0	1	0	0

7. CLASSIFICATION DECISIONS

	ORIGINAL		DERIVATIVE
	DATE OR EVENT (a)	ORIGINATING AGENCY'S DETERMINATION REQUIRED (O.A.D.R.) (b)	(c)
A. TOP SECRET	2	1	515 5,8
B. SECRET	17	129	1481 1627
C. CONFIDENTIAL	102	1342	490 1934

8. MANDATORY REVIEW REQUESTS AND APPEALS

CASES FOR WHICH AGENCY IS RESPONSIBLE FOR FINAL DECISION

	CASES CARRIED OVER FROM PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	GRANTED IN FULL (c) CASES	GRANTED IN FULL DOCS.	GRANTED IN FULL PAGES	GRANTED IN PART (d) CASES	GRANTED IN PART DOCS.	GRANTED IN PART PAGES	DENIED (e) CASES	DENIED DOCS.	DENIED PAGES	CASES CARRIED OVER TO NEXT PERIOD (f)
A. REQUESTS	23	37	36	98	444	7	188	858	1	2	6	17
B. APPEALS	0	1	0	0	0	0	0	0	1	2	6	0

9. SYSTEMATIC REVIEW FOR DECLASSIFICATION (In pages)

A. REVIEWED	B. DECLASSIFIED
0	0

10. NUMBER OF FORMAL INSPECTIONS, SURVEYS, OR PROGRAM REVIEWS

69

11. NUMBER OF INFRACTIONS INVOLVING:

A. OVERCLASSIFICATION	B. UNDERCLASSIFICATION	C. CLASSIFICATION WITHOUT AUTHORITY	D. MISMARKING
0	0	0	2

E. IMPROPER DESTRUCTION	F. UNAUTHORIZED ACCESS	G. IMPROPER STORAGE	H. IMPROPER REPRODUCTION	I. IMPROPER TRANSMISSION	J. OTHER (Elaborate under Item 12)
0	0	4	0	1	0

12. REMARKS

311-102

AGENCY INFORMATION SECURITY PROGRAM DATA

	1. PERIOD COVERED		INTERAGENCY REPORT CONTROL NUMBER
	A. FROM 10/01/95	B. TO 09/30/96	0230-GSA-AN

2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT
DEPARTMENT OF THE TREASURY

3. CONTACT FOR ADDITIONAL INFORMATION *(Name, office and telephone no.)*
(b) (6)
Assistant Director
(Physical Security)
(b) (6)

4. SENIOR OFFICIAL *(Section 5.3, E.O. 12356)*
George Muñoz
Assistant Secretary (Management)

5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES

A. TOP SECRET	B. SECRET	C. CONFIDENTIAL	D. TOTAL
12	40	108	160

6. ADDITIONAL DECLASSIFICATION AUTHORITIES

A. TOP SECRET	B. SECRET	C. CONFIDENTIAL	D. TOTAL
0	0	0	0

7. CLASSIFICATION DECISIONS

	ORIGINAL		DERIVATIVE
	DATE OR EVENT (a)	ORIGINATING AGENCY'S DETERMINATION REQUIRED (O.A.D.R.) (b)	(c)
A. TOP SECRET	0	0	414
B. SECRET	30 ✓	75 ✓	2236
C. CONFIDENTIAL	321 ✓ = 351	281 ✓ = 356	356 = 3006

8. MANDATORY REVIEW REQUESTS AND APPEALS

CASES FOR WHICH AGENCY IS RESPONSIBLE FOR FINAL DECISION

	CASES CARRIED OVER FROM PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	GRANTED IN FULL (c)			GRANTED IN PART (d)			DENIED (e)			CASES CARRIED OVER TO NEXT PERIOD (f)
			CASES	DOCS.	PAGES	CASES	DOCS.	PAGES	CASES	DOCS.	PAGES	
A. REQUESTS	17	30	20	72	299	5	76	438	1	1	2	21
B. APPEALS	0	0	0	0	0	0	0	0	0	0	0	0

9. SYSTEMATIC REVIEW FOR DECLASSIFICATION *(In pages)*

A. REVIEWED	B. DECLASSIFIED	10. NUMBER OF FORMAL INSPECTIONS, SURVEYS, OR PROGRAM REVIEWS
16,000	16,000	93

11. NUMBER OF INFRACTIONS INVOLVING:

A. OVERCLASSIFICATION	B. UNDERCLASSIFICATION	C. CLASSIFICATION WITHOUT AUTHORITY	D. MISMARKING
0	0	0	5

E. IMPROPER DESTRUCTION	F. UNAUTHORIZED ACCESS	G. IMPROPER STORAGE	H. IMPROPER REPRODUCTION	I. IMPROPER TRANSMISSION	J. OTHER *(Elaborate under Item 12)*
0	0	1	0	0	0

12. REMARKS

Overall, Treasury will not meet the requirement to declassify at least 15% of records affected by section 3.4, E.O. 12958. U.S. Secret Service reviewed and declassified 16,000 pages which represents approximately 24.6% of applicable records. When combined with Treasury documents reported at headquarters, this is approximately 1.5% of applicable records.

(IMPORTANT — Read instructions on reverse before completing this form)

INTERAGENCY REPORT CONTROL NUMBER
0230-NAR-AN

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

1. FISCAL YEAR COVERED
1997

2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT
DEPARTMENT OF THE TREASURY

3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.)
(b) (6)
Assistant Director
(Information and Physical Security)
(b) (6)

4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958)
Nancy Killefer, Assistant Secretary for Management and Chief Financial Officer

5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES

A. TOP SECRET	B. SECRET	C. CONFIDENTIAL	D. TOTAL
12	41	110	163

6. NUMBER OF CLASSIFICATION DECISIONS

CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE
	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)	
A. TOP SECRET	0	1	431
B. SECRET	63	72	2196
C. CONFIDENTIAL	457	208	686

7. MANDATORY REVIEW REQUESTS

	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)	DECLASSIFICATION DECISIONS (Report in pages)		
				GRANTED IN FULL (d)	GRANTED IN PART (e)	DENIED (f)
A. REQUESTS	21	30	7	39	2	3
B. APPEALS	1	0	0	0	0	1

8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958)
600,286

9. INTERNAL AGENCY OVERSIGHT
Number of Formal Inspections, Surveys, or Program Reviews
98

10. EXPLANATORY COMMENTS
(Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

1. FISCAL YEAR COVERED

FY98

2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT

Department of the Treasury

3. CONTACT FOR ADDITIONAL INFORMATION (Name, office, and telephone no.)

(b) (6)

Assistant Director (Information and Physical Security), (b) (6)

4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958)

Nancy Killefer, Assistant Secretary for Management and CFO

5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES

A. TOP SECRET	B. SECRET	C. CONFIDENTIAL	D. TOTAL
12	52	100	164

6. NUMBER OF CLASSIFICATION DECISIONS

ORIGINAL CLASSIFICATION

CLASSIFICATION LEVEL	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)	DERIVATIVE
T= 4,432			T=3,904
A. TOP SECRET	0	2	616
B. SECRET	62	66	1,860
C. CONFIDENTIAL	369 T= 431	29 T= 97	1,428

7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)	DECLASSIFICATION DECISIONS (Report in pages)		
				GRANTED IN FULL (d)	GRANTED IN PART (e)	DENIED (f)
A. REQUESTS	7	21	7	20	0	1
B. APPEALS	0	0	0	0	0	0

8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958)

780,000 International Affairs
360,000 CIEP*

9. INTERNAL AGENCY OVERSIGHT
Number of Formal Inspections, Surveys, or Program Reviews

89

10. EXPLANATORY COMMENTS
(Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to

* Treasury assigned a staff member to participate in the review of Council on International Economic Policy (an interagency group under White House auspices) documents at Archives II. This resulted in declassification of 360,000 pages of documents which are incidental to the review and declassification of 780,000 pages of Treasury, Office of International Affairs documents.

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(IMPORTANT - Read instructions on reverse before completing this form)	INTERAGENCY REPORT CONTROL NUMBER 0230-NAR-AN

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

1. FISCAL YEAR COVERED FY99

2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT	3. CONTACT FOR ADDITIONAL INFORMATION (Name, office, and telephone no.)
DEPARTMENT OF THE TREASURY	(b) (6) Assistant Director (Information and Physical Security) (b) (6)
4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958) Nancy Killefer, Assistant Secretary for Management and Chief Financial Officer	

5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES

A. TOP SECRET	B. SECRET	C. CONFIDENTIAL	D. TOTAL
14	56	97	167

6. NUMBER OF CLASSIFICATION DECISIONS

CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE
	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFI- CATION IN 10 YEARS (b)	
A. TOP SECRET	3	1	1668
B. SECRET	47	9	2500
C. CONFIDENTIAL	295	80	1220

7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)	DECLASSIFICATION DECISIONS (Report in pages)		
				GRANTED IN FULL (d)	GRANTED IN PART (e)	DENIED (f)
A. REQUESTS	7	29	23	12	0	1
B. APPEALS	0	0	0	0	0	0

8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958)	9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews
15,273	74

10. EXPLANATORY COMMENTS
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INTERAGENCY REPORT
CONTROL NUMBER

0230-NAR-AN

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

1. FISCAL YEAR COVERED

FY00

2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT

DEPARTMENT OF THE TREASURY

3. CONTACT FOR ADDITIONAL INFORMATION (Name, office, and telephone no.)

(b) (6)

Assistant Director
(Information and Physical Security)

(b) (6)

4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958)

Lisa G. Ross, Acting Assistant Secretary for Management and Chief Financial Officer

5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES

A. TOP SECRET	B. SECRET	C. CONFIDENTIAL	D. TOTAL
13	33	73	119

6. NUMBER OF CLASSIFICATION DECISIONS

CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE
	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)	
A. TOP SECRET	0	5	24
B. SECRET	51	62	2515
C. CONFIDENTIAL	138	10	829

7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)	DECLASSIFICATION DECISIONS (Report in pages)		
				GRANTED IN FULL (d)	GRANTED IN PART (e)	DENIED (f)
A. REQUESTS	23	25	41	7	0	0
B. APPEALS	0	0	0	0	0	0

8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958)	9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews
1,500,000	77

10. EXPLANATORY COMMENTS
(Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to

Numerical data on the volume of classified documents was obtained by actual count.

(IMPORTANT - Read instructions on reverse before completing this form)

INTERAGENCY REPORT CONTROL NUMBER

0230-NAR-AN

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

1. FISCAL YEAR COVERED

FY01

2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT

DEPARTMENT OF THE TREASURY

3. CONTACT FOR ADDITIONAL INFORMATION (Name, office, and telephone no.)

(b) (6)
Assistant Director
(Information and Physical Security)
(b) (6)

4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958)

James J. Flyzik, Acting Assistant Secretary for Management and Chief Information Officer

5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES

A. TOP SECRET	B. SECRET	C. CONFIDENTIAL	D. TOTAL
20	63	98	181

6. NUMBER OF CLASSIFICATION DECISIONS

CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE
	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)	
A. TOP SECRET	0	0	7
B. SECRET	69	0	2851
C. CONFIDENTIAL	227	2	2151

7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)	DECLASSIFICATION DECISIONS (Report in pages)		
				GRANTED IN FULL (d)	GRANTED IN PART (e)	DENIED (f)
A. REQUESTS	41	68	17	92	0	0
B. APPEALS	0	0	0	0	0	0

8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958)

84,486

9. INTERNAL AGENCY OVERSIGHT
Number of Formal Inspections, Surveys, or Program Reviews

60

10. EXPLANATORY COMMENTS
(Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to

(IMPORTANT – Read Instructions on reverse before completing this form)	INTERAGENCY REPORT CONTROL NUMBER **0230-NAR-AN**

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

1. FISCAL YEAR COVERED
2002

2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT

Department of the Treasury

3. CONTACT FOR ADDITIONAL INFORMATION *(Name, office and telephone no.)*
(b) (6)
Information Security Program Manager
Office of Security and Continuity Planning
(b) (6)

4. SENIOR AGENCY OFFICIAL *(Section 5.6, E.O. 12958)*
Edward R. Kingman, Jr.
Assistant Secretary for Management and Chief Financial Officer

5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES

A. TOP SECRET	B. SECRET	C. CONFIDENTIAL	D. TOTAL
17	71	99	187

6. NUMBER OF CLASSIFICATION DECISIONS

CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE
	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)	
A. TOP SECRET	0	0	0
B. SECRET	69	0	2115
C. CONFIDENTIAL	84	1	2190

7. MANDATORY REVIEW REQUESTS

	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)	DECLASSIFICATION DECISIONS (Report in pages)		
				GRANTED IN FULL (d)	GRANTED IN PART (e)	DENIED (f)
A. REQUESTS	17	15	26	2097	0	0
B. APPEALS	0	0	0	0	0	0

8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958)

15, 514*

9. INTERNAL AGENCY OVERSIGHT
Number of Formal Inspections, Surveys, or Program Reviews

303

10. EXPLANATORY COMMENTS
(Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)

*Item 8: An 82% reduction in automatic declassification between FY01 and FY02 occurred because an employee dedicated to the function retired and has not been replaced.

| (*IMPORTANT – Read Instructions on reverse before completing this form*) | INTERAGENCY REPORT CONTROL NUMBER **0230-NAR-AN** |

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

1. FISCAL YEAR COVERED
2003

2. DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT

Department of the Treasury

3. CONTACT FOR ADDITIONAL INFORMATION (*Name, office and telephone no.*)
(b) (6)
Assistant Director (Information & Physical Security)
Office of Security Programs
(b) (6)

4. SENIOR AGENCY OFFICIAL (*Section 5.6, E.O. 12958*)
Teresa Mullett Ressel, Assistant Secretary for Management and Chief Financial Officer

5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES

A. TOP SECRET	B. SECRET	C. CONFIDENTIAL	D. TOTAL
9	38	25	7̶3̶ 72

6. NUMBER OF CLASSIFICATION DECISIONS

CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE
	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)	
A. TOP SECRET	0	2	1,279
B. SECRET	28	152	557
C. CONFIDENTIAL	53	16	296

7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)	DECLASSIFICATION DECISIONS (Report in pages)		
				GRANTED IN FULL (d)	GRANTED IN PART (e)	DENIED (f)
A. REQUESTS	26	0	26	0	0	0
B. APPEALS	0	0	0	0	0	0

| 8.   PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958) 0* | 9.   INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews 10 |

10. EXPLANATORY COMMENTS
(*Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.*)

* Reduction from FY02 is because the employee dedicated to this function retired and has never been replaced.

The Department of the Treasury transferred 30% of its resources and personnel with creation of the Department of Homeland Security. This included the United States Secret Service, United States Customs Service, and the Federal Law Enforcement Training Center along with transfer of the Bureau of Alcohol, Tobacco and Firearms to the Department of Justice. There was also a 30% reduction in supporting Headquarters staff. Treasury's reduced volume of classified information and number of classifiers reflects this reallocation of resources and personnel and a significant reduction in inspections along with the on-going restructuring of the Department.

The increase in numbers of derivative classification at the Top Secret level is from the (b) (7)(E)   and also from the Executive Office (b) (7)(E)   Both offices are expanding because of the (b) (7)(E)   and attendant tracking of financial assets.

12/5/02

(IMPORTANT – Read Instructions on reverse before completing this form)	INTERAGENCY REPORT CONTROL NUMBER **0230-NAR-AN**

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

DEPARTMENT, INDEPENDENT AGENCY OR ESTABLISHMENT	1. FISCAL YEAR COVERED 2004

	3. CONTACT FOR ADDITIONAL INFORMATION (Name, office and telephone no.)
Department of the Treasury	(b) (6) ▉
4. SENIOR AGENCY OFFICIAL (Section 5.6, E.O. 12958)	Office of Security Programs
Assistant Secretary (Intelligence and Analysis)*	(b) (6) ▉

5. NUMBER OF ORIGINAL CLASSIFICATION AUTHORITIES

A. TOP SECRET	B. SECRET	C. CONFIDENTIAL	D. TOTAL
17	9	0	26

6. NUMBER OF CLASSIFICATION DECISIONS

CLASSIFICATION LEVEL	ORIGINAL CLASSIFICATION		DERIVATIVE
	DECLASSIFY IN 10 YEARS OR LESS (a)	EXEMPT FROM DECLASSIFICATION IN 10 YEARS (b)	
A. TOP SECRET	1	1	1,461
B. SECRET	52	81	632
C. CONFIDENTIAL	31	1	328

7. MANDATORY REVIEW REQUESTS	CASES CARRIED OVER FROM THE PREVIOUS PERIOD (a)	NEW CASES RECEIVED (b)	CASES CARRIED OVER TO NEXT PERIOD (c)	DECLASSIFICATION DECISIONS (Report in pages)		
				GRANTED IN FULL (d)	GRANTED IN PART (e)	DENIED (f)
A. REQUESTS	26	0	26	0	0	0
B. APPEALS	0	0	0	0	0	0

8. PAGES DECLASSIFIED: AUTOMATIC DECLASSIFICATION AND SYSTEMATIC REVIEW FOR DECLASSIFICATION (Sections 3.4 and 3.5 of EO 12958) 0*	9. INTERNAL AGENCY OVERSIGHT Number of Formal Inspections, Surveys, or Program Reviews 8

10. EXPLANATORY COMMENTS
(Use this space to elaborate on any section of this form. If more space is needed, use a blank sheet(s) of paper and attach to form.)

* Flat-line activity FY02 remains since the single employee previously dedicated to this function has not been replaced.

The Department of the Treasury's reduced volume of classified information and number of original classifiers reflects the reallocation of resources and personnel following transfer of the United States Secret Service, United States Customs Service, and the Federal Law Enforcement Training Center to the Homeland Security Department and loss of the enforcement side of the Bureau of Alcohol, Tobacco and Firearms to the Justice Department. Earlier this year Treasury established the position of Under Secretary (Enforcement) in charge of a new Office of Terrorism and Financial Intelligence (TFI). TFI is still in the growth stage.

*Treasury's Senior Agency Official position has yet to be filled.

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information

1. Enter the **Fiscal Year** that this report covers.

   FY05

2. Identify the **Department, Independent agency or Establishment** that is covered by this report.

   Department of the Treasury

3. Enter the name, title, address, phone, fax, and email address of the **Senior Agency Official** (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report.

   (b) (6)   , Assistant Secretary (Intelligence and Analysis), (b) (6)

   (b) (6)   , fax (b) (6)

4. Enter the name, title, phone, fax and email address for the **point-of-contact** responsible for answering questions about this report.

   (b) (6)   , Assistant Director, (b) (6)

   (b) (6)   , fax (b) (6)

PART B: Officials with Original Classification Authority

5. Enter the number of agency officials whose **highest level** of original classification authority is **TOP SECRET**.	5.	17
6. Enter the number of agency officials whose **highest level** of original classification authority is **SECRET**.	6.	9
7. Enter the number of agency officials whose **highest level** of original classification authority is **CONFIDENTIAL**.	7.	
8. **Total** number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8.	26

PART C: Original Classification Decisions

Original classification is an initial determination that the Information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by, produced by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in Section 1.4 of EO 12958, as amended; and, (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. [Provide information only on classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

9. Enter the number of original **TOP SECRET** classification decisions made during the reporting period **with declassification instructions of 10 years or less.**	9.	0
10. Enter the number of original **TOP SECRET** classification decisions made during the reporting period **with declassification instructions ranging from over 10 years to 25 years.**	10.	0
11. Total number of **TOP SECRET** original classification decisions. (Sum of blocks 9 & 10)	11.	0
12. Enter the number of original **SECRET** classification decisions made during the reporting period **with declassification instructions of 10 years or less.**	12.	39
13. Enter the number of original **SECRET** classification decisions made during the reporting period **with declassification instructions ranging from over 10 years to 25 years.**	13.	0
14. Total number of **SECRET** original classification decisions. (Sum of blocks 12 & 13)	14.	39
15. Enter the number of original **CONFIDENTIAL** classification decisions made during the reporting period with **declassification instructions of 10 years or less.**	15.	18
16. Enter the number of original **CONFIDENTIAL** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years.**	16.	0
17. Total number of **CONFIDENTIAL** original classification decisions. (Sum of blocks 15 & 16)	17.	18
18. **Total** number of original classification decisions. (Sum of blocks 11, 14, & 17)	18.	57

PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is **already classified**. This includes classification based on classification guides or other source documents. [Provide information only on derivative classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

19. Enter the number of derivative **TOP SECRET** classifications during the reporting period.	19	147
20. Enter the number of derivative **SECRET** classifications during the reporting period.	20.	671
21. Enter the number of derivative **CONFIDENTIAL** classifications during the reporting period.	21.	202
22. **Total** number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22.	1,020

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, the **Fiscal Year** that this report covers.
  Y05

identify the **Department, Independent agency or establishment** that is covered by this report.
Department of the Treasury

PART E: Mandatory Declassification Review Requests and Appeals

A "request" is an individual review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report **only** requests for your agency in which **your agency is responsible for the final decision.** Please provide an explanation in Part I below where the number of requests or appeals carried forward between reporting periods changes.

23. Enter the number of **requests carried over** from the **previous** reporting period.	**23.** 26
24. Enter the number of **new requests** received **during** the reporting period.	**24.** 0
25. Enter the number of **requests carried over** to the **next** reporting period.	**25.** 26
26. Enter the number of **appeals carried over** from the **previous** reporting period.	**26.** 0
27. Enter the number of **new appeals** received **during** the reporting period.	**27.** 0
28. Enter the number of **appeals carried over** to the **next** reporting period.	**28.** 0

PART F: Mandatory Declassification Review Decisions In Pages

29. Enter the number of **requested pages** that were declassified **in full.**	**29.** 0
30. Enter the number of **requested pages** that were declassified **in part.**	**30.** 0
31. Enter the number of **requested pages** that were **denied** declassification.	**31.** 0
32. **Total** number of requested pages acted on. (Sum of blocks 29, 30, and 31)	**32.** 0
33. Enter the number of **appealed pages** that were declassified **in full.**	**33.** 0
34. Enter the number of **appealed pages** that were declassified **in part.**	**34.** 0
35. Enter the number of **appealed pages** that were **denied** declassification.	**35.** 0
36. **Total** number of appealed pages acted on. (Sum of blocks 33, 34, & 35)	**36.** 0

PART G: Automatic Declassification and Systematic Review

37. Enter the number of pages reviewed during the reporting period that were subject to declassification under Sections 3.3 & 3.4, EO12958, as amended.	**37.** 380
38. Enter the number of pages declassified under Sections 3.3 & 3.4, EO 12958, as amended.	**38.** 4,156

PART H: Internal Agency Oversight

39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.	**39.** 4
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	**40.** 0

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

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TOTAL P.02

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information

1. Enter the **Fiscal Year** that this report covers.

   Fiscal Year 2006

2. Identify the **Department, Independent agency or Establishment** that is covered by this report.

   Department of the Treasury

3. Enter the name, title, address, phone, fax, and email address of the **Senior Agency Official** (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report.

   (b) (6) , Assistant Secretary (Intelligence and Analysis)
   (b) (6) , 1500 Pennsylvania Avenue, NW, Washington, DC 20220
   (b) (6)   FAX (b) (6)
   (b) (6)

4. Enter the name, title, phone, fax and email address for the **point-of-contact** responsible for answering questions about this report.

   (b) (6)

   Assistant Director (Information Security)
   (b) (6) , 1500 Pennsylvania Avenue, NW, Washington, DC 20220
   (b) (6)   FAX (b) (6)
   (b) (6)

PART B: Officials with Original Classification Authority

5. Enter the number of agency officials whose **highest level** of original classification authority is **TOP SECRET**.	5.	17
6. Enter the number of agency officials whose **highest level** of original classification authority is **SECRET**.	6.	9
7. Enter the number of agency officials whose **highest level** of original classification authority is **CONFIDENTIAL**.	7.	0
8. **Total** number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8.	26

PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by, produced by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in Section 1.4 of EO 12958, as amended; and, (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. [Provide information only on classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

9. Enter the number of **original TOP SECRET** classification decisions made during the reporting period **with declassification instructions of 10 years or less**.	9.	0
10. Enter the number of **original TOP SECRET** classification decisions made during the reporting period **with declassification instructions ranging from over 10 years to 25 years**.	10.	0
11. **Total** number of **TOP SECRET** original classification decisions. (Sum of blocks 9 & 10)	11.	0
12. Enter the number of **original SECRET** classification decisions made during the reporting period with **declassification instructions of 10 years or less**.	12.	18
13. Enter the number of **original SECRET** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years**.	13.	1
14. **Total** number of **SECRET** original classification decisions. (Sum of blocks 12 & 13)	14.	19
15. Enter the number of **original CONFIDENTIAL** classification decisions made during the reporting period with **declassification instructions of 10 years or less**.	15.	6
16. Enter the number of **original CONFIDENTIAL** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years**.	16.	0
17. **Total** number of **CONFIDENTIAL** original classification decisions. (Sum of blocks 15 & 16)	17.	6
18. **Total** number of original classification decisions. (Sum of blocks 11, 14, & 17)	18.	25

PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. [Provide information only on derivative classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

19. Enter the number of **derivative TOP SECRET** classifications during the reporting period.	19	289
20. Enter the number of **derivative SECRET** classifications during the reporting period.	20.	1,271
21. Enter the number of **derivative CONFIDENTIAL** classifications during the reporting period.	21.	192
22. **Total** number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22.	1,752

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Enter the **Fiscal Year** that this report covers.
Fiscal Year 2006

Identify the **Department, Independent agency or establishment** that is covered by this report.
Department of the Treasury

PART E: Mandatory Declassification Review Requests and Appeals

A "request" is an individual review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part I below where the number of requests or appeals carried forward between reporting periods changes.

23. Enter the number of **requests carried over** from the **previous** reporting period.	23. 26
24. Enter the number of **new requests** received **during** the reporting period.	24. 88
25. Enter the number of **requests carried over** to the **next** reporting period.	25. 16
26. Enter the number of **appeals carried over** from the **previous** reporting period.	26. 0
27. Enter the number of **new appeals** received **during** the reporting period.	27. 0
28. Enter the number of **appeals carried over** to the **next** reporting period.	28. 0

PART F: Mandatory Declassification Review Decisions in Pages

29. Enter the number of **requested pages** that were declassified **in full**.	29. 2,015
30. Enter the number of **requested pages** that were declassified **in part**.	30. 0
31. Enter the number of **requested pages** that were **denied** declassification.	31. 0
32. **Total** number of **requested pages** acted on. (Sum of blocks 29, 30, and 31)	32. 2,015
33. Enter the number of **appealed pages** that were declassified **in full**.	33. 0
34. Enter the number of **appealed pages** that were declassified **in part**.	34. 0
35. Enter the number of **appealed pages** that were **denied** declassification.	35. 0
36. **Total** number of **appealed pages** acted on. (Sum of blocks 33, 34, & 35)	36. 0

PART G: Automatic Declassification and Systematic Review

37. Enter the number of pages **reviewed during the reporting period that were subject to declassification** under Sections 3.3 & 3.4, EO12958, as amended.	37. 661,897
38. Enter the number of pages **declassified** under Sections 3.3 & 3.4, EO 12958, as amended.	38. 573,539

PART H: Internal Agency Oversight

39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.	39. 12
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	40. 0

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

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AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information

1. Enter the **Fiscal Year** that this report covers.

FY07 (10/1/06 through 9/30/07)

2. Identify the **Department, Independent agency or Establishment** that is covered by this report.

Department of the Treasury

3. Enter the name, title, address, phone, fax, and email address of the **Senior Agency Official** (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report.

Janice B. Gardner, Assistant Secretary (Intelligence and Analysis)
1500 Pennsylvania Avenue, NW, Room 4332
(202) 622-1835 FAX (202) 622-4194 E-mail - janice.gardner@do.treas.gov

4. Enter the name, title, phone, fax and email address for the **point-of-contact** responsible for answering questions about this report.

Wade C. Straw, Director, Office of Security Programs **(b) (6)**
1500 Pennsylvania Avenue, NW, Room 3180 Annex
(b) (6) FAX **(b) (6)** E-mail - wade.straw@do.treas.gov 15 NOV 07

PART B: Officials with Original Classification Authority

5. Enter the number of agency officials whose **highest level** of original classification authority is **TOP SECRET**.	**5.**	17
6. Enter the number of agency officials whose **highest level** of original classification authority is **SECRET**.	**6.**	9
7. Enter the number of agency officials whose **highest level** of original classification authority is **CONFIDENTIAL**.	**7.**	0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	**8.**	26

PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by, produced by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in Section 1.4 of EO 12958, as amended; and, (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. [Provide information only on classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

9. Enter the number of original **TOP SECRET** classification decisions made during the reporting period **with declassification instructions of 10 years or less.**	**9.**	0
10. Enter the number of original **TOP SECRET** classification decisions made during the reporting period **with declassification instructions ranging from over 10 years to 25 years.**	**10.**	0
11. Total number of **TOP SECRET** original classification decisions. (Sum of blocks 9 & 10)	**11.**	0
12. Enter the number of original **SECRET** classification decisions made during the reporting period with **declassification instructions of 10 years or less.**	**12.**	3
13. Enter the number of original **SECRET** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years.**	**13.**	0
14. Total number of **SECRET** original classification decisions. (Sum of blocks 12 & 13)	**14.**	3
15. Enter the number of original **CONFIDENTIAL** classification decisions made during the reporting period with declassification instructions of 10 years or less.	**15.**	1
16. Enter the number of original **CONFIDENTIAL** classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	**16.**	0
17. Total number of **CONFIDENTIAL** original classification decisions. (Sum of blocks 15 & 16)	**17.**	1
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	**18.**	4

PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. [Provide information only on derivative classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

19. Enter the number of derivative **TOP SECRET** classifications during the reporting period.	**19**	436
20. Enter the number of derivative **SECRET** classifications during the reporting period.	**20.**	1,118
21. Enter the number of derivative **CONFIDENTIAL** classifications during the reporting period.	**21.**	232
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	**22.**	1,786

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Enter the **Fiscal Year** that this report covers.
· FY07 (10/1/06 through 9/30/07)

Identify the **Department, Independent agency or establishment** that is covered by this report.
Department of the Treasury

PART E: Mandatory Declassification Review Requests and Appeals

A "request" is an individual review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which **your agency is responsible for the final decision.** Please provide an explanation in Part I below where the number of requests or appeals carried forward between reporting periods changes.

23. Enter the number of **requests carried over** from the **previous** reporting period.	**23.** 27
24. Enter the number of **new requests** received **during** the reporting period.	**24.** 5
25. Enter the number of **requests carried over** to the **next** reporting period.	**25.** 14
26. Enter the number of **appeals carried over** from the **previous** reporting period.	**26.** 0
27. Enter the number of **new appeals** received **during** the reporting period.	**27.** 0
28. Enter the number of **appeals carried over** to the **next** reporting period.	**28.** 0

PART F: Mandatory Declassification Review Decisions in Pages

29. Enter the number of **requested pages** that were declassified **in full.**	**29.** 932
30. Enter the number of **requested pages** that were declassified **in part.**	**30.** 0
31. Enter the number of **requested pages** that were **denied** declassification.	**31.** 0
32. **Total** number of **requested pages** acted on. (Sum of blocks 29, 30, and 31)	**32.** 932
33. Enter the number of **appealed pages** that were declassified **in full.**	**33.** 0
34. Enter the number of **appealed pages** that were declassified **in part.**	**34.** 0
35. Enter the number of **appealed pages** that were **denied** declassification.	**35.** 0
36. **Total** number of **appealed pages** acted on. (Sum of blocks 33, 34, & 35)	**36.** 0

PART G: Automatic Declassification and Systematic Review

37. Enter the number of pages **reviewed during the reporting period that were subject to declassification** under Sections 3.3 & 3.4, EO12958, as amended.	**37.** 125,000
38. Enter the number of pages **declassified** under Sections 3.3 & 3.4, EO 12958, as amended.	**38.** 123,190

PART H: Internal Agency Oversight

39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.	**39.** 10
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	**40.** 0

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

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AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information

1. Enter the **Fiscal Year** that this report covers.

    FY 08

2. Identify the **Department, Independent agency or Establishment** that is covered by this report.

    ▓▓▓▓▓▓▓▓▓▓▓▓▓▓

3. Enter the name, title, address, phone, fax, and email address of the **Senior Agency Official** (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report.

    (b) (6), Assistant Secretary (Intelligence and Analysis)
    1500 Pennsylvania Avenue, NW, Washington, DC 20220
    (b) (6) FAX (b) (6) (b) (6)

4. Enter the name, title, phone, fax and email address for the **point-of-contact** responsible for answering questions about this report.

    Wade C. Straw, Director, Office of Security Programs
    1500 Pennsylvania Avenue, NW, Washington, DC 20220
    (b) (6) FAX (b) (6) wade.straw@do.treas.gov

PART B: Officials with Original Classification Authority

5. Enter the number of agency officials whose **highest level** of original classification authority is **TOP SECRET**.	5.	17
6. Enter the number of agency officials whose **highest level** of original classification authority is **SECRET**.	6.	9
7. Enter the number of agency officials whose **highest level** of original classification authority is **CONFIDENTIAL**.	7.	0
8. **Total** number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8.	26

PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by, produced by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in Section 1.4 of EO 12958, as amended; and, (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. [Provide information only on classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

9. Enter the number of **original TOP SECRET** classification decisions made during the reporting period **with declassification instructions of 10 years or less.**	9.	0
10. Enter the number of **original TOP SECRET** classification decisions made during the reporting period **with declassification instructions ranging from over 10 years to 25 years.**	10.	0
11. **Total** number of **TOP SECRET** original classification decisions. (Sum of blocks 9 & 10)	11.	0
12. Enter the number of **original SECRET** classification decisions made during the reporting period with **declassification instructions of 10 years or less.**	12.	0
13. Enter the number of **original SECRET** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years.**	13.	0
14. **Total** number of **SECRET** original classification decisions. (Sum of blocks 12 & 13)	14.	0
15. Enter the number of **original CONFIDENTIAL** classification decisions made during the reporting period with **declassification instructions of 10 years or less.**	15.	0
16. Enter the number of **original CONFIDENTIAL** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years.**	16.	0
17. **Total** number of **CONFIDENTIAL** original classification decisions. (Sum of blocks 15 & 16)	17.	0
18. **Total** number of original classification decisions. (Sum of blocks 11, 14, & 17)	18.	0

PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. [Provide information only on derivative classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.]

19. Enter the number of **derivative TOP SECRET** classifications during the reporting period.	19	491
20. Enter the number of **derivative SECRET** classifications during the reporting period.	20.	1,764
21. Enter the number of **derivative CONFIDENTIAL** classifications during the reporting period.	21.	174
22. **Total** number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22.	2,429

from FY07

Enter the **Fiscal Year** that this report covers.

FY 08

Identify the **Department, Independent agency or establishment** that is covered by this report.

PART E: Mandatory Declassification Review Requests and Appeals

A "request" is an individual review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report **only** requests for your agency in which **your agency is responsible for the final decision.** Please provide an explanation in Part I below where the number of requests or appeals carried forward between reporting periods changes.

23. Enter the number of **requests carried over** from the **previous** reporting period.	23.	7
24. Enter the number of **new requests** received **during** the reporting period.	24.	14
25. Enter the number of **requests carried over** to the **next** reporting period.	25.	0
26. Enter the number of **appeals carried over** from the **previous** reporting period.	26.	0
27. Enter the number of **new appeals** received **during** the reporting period.	27.	0
28. Enter the number of **appeals carried over** to the **next** reporting period.	28.	0

PART F: Mandatory Declassification Review Decisions in Pages

29. Enter the number of **requested pages** that were declassified **in full.**	29.	305
30. Enter the number of **requested pages** that were declassified **in part.**	30.	0
31. Enter the number of **requested pages** that were **denied** declassification.	31.	0
32. **Total** number of **requested pages** acted on. (Sum of blocks 29, 30, and 31)	32.	305
33. Enter the number of **appealed pages** that were declassified **in full.**	33.	0
34. Enter the number of **appealed pages** that were declassified **in part.**	34.	0
35. Enter the number of **appealed pages** that were **denied** declassification.	35.	0
36. **Total** number of **appealed pages** acted on. (Sum of blocks 33, 34, & 35)	36.	0

PART G: Automatic Declassification and Systematic Review

37. Enter the number of pages **reviewed during the reporting period that were subject to declassification** under Sections 3.3 & 3.4, EO12958, as amended.	37.	1,882,500
38. Enter the number of pages **declassified** under Sections 3.3 & 3.4, EO 12958, as amended.	38.	1,753,117

PART H: Internal Agency Oversight

39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.	39.	15
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	40.	0

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

Part G - In FY08, the Department of the Treasury reviewed (with contractor support) permanently valuable classified records dating from 1983 to 1989 in advance of the required timeframe for automatic declassification at 25 years of age.

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AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and typewritten, and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information

1. Enter the **Fiscal Year** that this report covers.

FY 09

2. Identify the **Department, Independent agency or Establishment** that is covered by this report.

Department of the Treasury

3. Enter the name, title, address, phone, fax, and email address of the **Senior Agency Official** (as defined in EO 12958, as amended, Section 5.4(d)) responsible for this report.

Charles J. Cavella, Jr., Deputy Assistant Secretary for Security
1500 Pennsylvania Avenue, NW, Washington, DC 20220
(b) (6)　　　FAX (b) (6)
charles.cavella@do.treas.gov

4. Enter the name, title, phone, fax and email address for the **point-of-contact** responsible for answering questions about this report.

(b) (6)　　, Assistant Director (Information Security)
1500 Pennsylvania Avenue, NW, Washington, DC 20220
(b) (6)　　FAX (b) (6)
(b) (6)

PART B: Officials with Original Classification Authority

5. Enter the number of agency officials whose **highest level** of original classification authority is **TOP SECRET**.	**5.**	17
6. Enter the number of agency officials whose **highest level** of original classification authority is **SECRET**.	**6.**	9
7. Enter the number of agency officials whose **highest level** of original classification authority is **CONFIDENTIAL**.	**7.**	0
8. **Total** number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	**8.**	26

PART C: Original Classification Decisions

Original classification is an initial determination that information requires, in the interest of national security, protection against unauthorized disclosure. An original classification decision must be made by an official who has the authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by, produced by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories listed in Section 1.4 of EO 12958, as amended; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information only on classification decisions contained in finished products for dissemination or retention regardless of the media. Do not count reproductions or copies.)

9. Enter the number of **original TOP SECRET** classification decisions made during the reporting period **with declassification instructions of 10 years or less**.	**9.**	0
10. Enter the number of **original TOP SECRET** classification decisions made during the reporting period **with declassification instructions ranging from over 10 years to 25 years.**	**10.**	2
11. Total number of **TOP SECRET** original classification decisions. (Sum of blocks 9 & 10)	**11.**	2
12. Enter the number of **original SECRET** classification decisions made during the reporting period with **declassification instructions of 10 years or less.**	**12.**	0
13. Enter the number of **original SECRET** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years.**	**13.**	8
14. Total number of **SECRET** original classification decisions. (Sum of blocks 12 & 13)	**14.**	8
15. Enter the number of **original CONFIDENTIAL** classification decisions made during the reporting period with **declassification instructions of 10 years or less.**	**15.**	0
16. Enter the number of **original CONFIDENTIAL** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years.**	**16.**	0
17. Total number of **CONFIDENTIAL** original classification decisions. (Sum of blocks 15 & 16)	**17.**	0
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	**18.**	10

PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information only on derivative classification decisions contained in finished products for dissemination or retention, regardless of the media. Do not count reproductions or copies.)

19. Enter the number of **derivative TOP SECRET** classifications during the reporting period.	**19**	672
20. Enter the number of **derivative SECRET** classifications during the reporting period.	**20.**	6,136
21. Enter the number of **derivative CONFIDENTIAL** classifications during the reporting period.	**21.**	723
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	**22.**	7,531

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32 CFR 2001.80　E.O. 12958, as amended

Enter the **Fiscal Year** that this report covers.
  FY 09

Identify the **Department, independent agency or establishment** that is covered by this report.
  Department of the Treasury

23. Enter the number of **requests carried over** from the **previous** reporting period.	23. 0
24. Enter the number of **new requests** received **during** the reporting period.	24. 9
25. Enter the number of **requests carried over** to the **next** reporting period.	25. 7
26. Enter the number of **appeals carried over** from the **previous** reporting period.	26. 0
27. Enter the number of **new appeals** received **during** the reporting period.	27. 0
28. Enter the number of **appeals carried over** to the **next** reporting period.	28. 0

PART F: Mandatory Declassification Review Decisions in Pages

29. Enter the number of **requested pages** that were **declassified in full**.	29. 11
30. Enter the number of **requested pages** that were **declassified in part**.	30. 0
31. Enter the number of **requested pages** that were **denied** declassification.	31. 0
32. **Total** number of **requested pages** acted on. (Sum of blocks 29, 30, and 31)	32. 11
33. Enter the number of **appealed pages** that were **declassified in full**.	33. 0
34. Enter the number of **appealed pages** that were **declassified in part**.	34. 0
35. Enter the number of **appealed pages** that were **denied** declassification.	35. 0
36. **Total** number of **appealed pages** acted on. (Sum of blocks 33, 34, & 35)	36. 0

PART G: Automatic Declassification and Systematic Review

37. Enter the number of pages **reviewed** during the reporting period that were subject to declassification under Sections 3.3 & 3.4, EO12958, as amended.	37. 142,820
38. Enter the number of pages **declassified** under Sections 3.3 & 3.4, EO 12958, as amended.	38. 142,820

PART H: Internal Agency Oversight

39. Enter the number of inspections, surveys, or program reviews, covering any aspect of the security classification program, completed during the reporting period.	39. 10
40. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (See EO 12958 as amended, Section 1.8)	40. 0

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

Part G - In FY09, the Department of the Treasury reviewed another 142,820 pages of classified information which was declassified in full. Treasury has already reviewed classified records that are subject to automatic declassification through 1989 in advance of the the required 25-year time frame.

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                                                                                                  32 CFR 2001.60   E.O. 12958, as amended

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: IDENTIFYING INFORMATION

1. Enter the FISCAL YEAR this report covers.	2010
2. Enter the DEPARTMENT or AGENCY covered by this report.	Department of the Treasury (mod 1)
3. Enter the name, title, address, phone, fax, and e-mail address of the SENIOR AGENCY OFFICIAL (as defined in E.O. 13526, section 5.4(d)).	Charles J. Cavella, Jr. ,DAS for Security
4. Enter the name, title, phone, fax, and e-mail address for the POINT OF CONTACT responsible for answering questions about this report.	**(b) (6)** [redacted] Assistant Director, Office of Security Programs

PART B: OFFICIALS WITH ORIGINAL CLASSIFICATION AUTHORITY

5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.	17
6. Enter the number of agency officials whose highest level of original classification authority is SECRET.	9
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.	0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, and 7)	26

PART C: ORIGINAL CLASSIFICATION DECISIONS

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)

9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of **10 years or less.**	0
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions **ranging from over 10 years to 25 years.**	1
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 and 10)	1
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of **10 years or less.**	0
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions **ranging from over 10 years to 25 years.**	0
14. Total number of SECRET original classification decisions. (Sum of blocks 12 and 13)	0
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of **10 years or less.**	0
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions **ranging from over 10 years to 25 years.**	0
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 and 16)	0
18. Total number of original classification decisions. (Sum of blocks 11, 14, and 17)	1

PART D: DERIVATIVE CLASSIFICATION DECISIONS

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.

19. Enter the number of derivative TOP SECRET classification decisions during the reporting period.	2,240
20. Enter the number of derivative SECRET classification decisions during the reporting period.	6,711
21. Enter the number of derivative CONFIDENTIAL classification decisions during the reporting period.	2,664
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, and 21)	11,615

Fiscal Year 2010   Department or Agency Treasury

PART E: MANDATORY DECLASSIFICATON REVIEW REQUESTS AND APPEALS

A "request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part J below where the number of requests or appeals carried forward between reporting period changes.

23. Enter the number of requests carried over from the previous reporting period. (Block 25 on last year's report) | 7

24. Enter the number of new requests received during the reporting period. | 4

25. Enter the number of requests carried over to the next reporting period. | 7

26. Enter the number of appeals carried over from the previous reporting period. (Block 28 on last year's report) | 0

27. Enter the number of new appeals received during the reporting period. | 0

28. Enter the number of appeals carried over to the next reporting period. | 0

29. Enter the number of requested pages that were declassified in full. | 22

30. Enter the number of requested pages that were declassified in part. | 0

31. Enter the number of requested pages that were denied declassification. | 0

32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31) | 22

33. Enter the number of appealed pages that were declassified in full. | 0

34. Enter the number of appealed pages that were declassified in part. | 0

35. Enter the number of appealed pages that were denied declassification. | 0

36. Total number of appealed pages acted on. (Sum of blocks 33, 34, and 35) | 0

37. Enter the number of pages reviewed that were subject to automatic declassification under section 3.3 of E.O. 13526. | 0

38. Enter the number of pages declassified under section 3.3 of E.O. 13526. | 0

39. Enter the number of pages reviewed that were subject to systematic declassification under section 3.4 of E.O. 13526. | 0

40. Enter the number of pages declassified under section 3.4 of E.O. 13526. | 0

41. Enter the number of pages reviewed that were subject to discretionary declassification under section 3.1 of E.O. 13526. | 0

42. Enter the number of pages declassified under section 3.1 of E.O. 13526. | 0

43. Enter the number of inspections, surveys, or program reviews conducted by your agency, covering any aspect of the security classification program, completed during the reporting period. | 7

44. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (E.O. 13526, section 1.8) | 0

45. Enter the number of challenges where the classification status was fully affirmed. | 0

46. Enter the number of challenges where the classification status was overturned in whole or in part. | 0

47. Enter the number of security classification guides created by your agency and currently in use. | 6

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Fiscal Year	2010		Department or Agency	Treasury

PART J: EXPLANATORY COMMENTS

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report. Additionally, select the sampling process your agency used in developing this year's report.

Sampling Period:
2-Week _____
4-Week _____
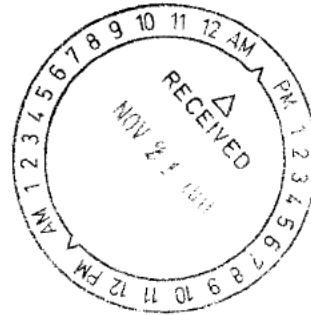8-Week _____
One Year _____
Multiplier Applied:
None _____
Other (Specify) _____

Part G - The Department of the Treasury has already reviewed classified records subject to automatic declassification through 1989 in advance of the 25-year time frame.

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DEPARTMENT OF THE TREASURY
WASHINGTON, D.C. 20220

November 14, 2011

John P. Fitzpatrick, Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, DC 20408

Dear Jay:

This letter responds to your request for submission of the annual Standard Form 311, Agency Security Classification Management Program Data report. Enclosed is the FY11 report for the Department of the Treasury.

Please contact (b) (6), Assistant Director (Information Security), if you have any questions.

Sincerely,

(b) (6)

Wade C. Straw
Director, Office of Security Programs

Enclosure

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: IDENTIFYING INFORMATION

1. Enter the FISCAL YEAR this report covers.	FY 2011
2. Enter the DEPARTMENT or AGENCY covered by this report.	Department of the Treasury
3. Enter the name, title, address, phone, fax, and e-mail address of the SENIOR AGENCY OFFICIAL (as defined in E.O. 13526, section 5.4(d)).	Charles J. Cavella, Jr., Deputy Assistant Secretary for Security, Room 2441, Main Treasury, (b) (6) ■
4. Enter the name, title, phone, fax, and e-mail address for the POINT OF CONTACT responsible for answering questions about this report.	(b) (6) , Assistant Director (Information Security)

PART B: OFFICIALS WITH ORIGINAL CLASSIFICATION AUTHORITY

5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.	11
6. Enter the number of agency officials whose highest level of original classification authority is SECRET.	2
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.	0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, and 7)	13

PART C: ORIGINAL CLASSIFICATION DECISIONS

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)

9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of **10 years or less**.	0
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions **ranging from over 10 years to 25 years**.	0
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 and 10)	0
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of **10 years or less**.	0
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions **ranging from over 10 years to 25 years**.	0
14. Total number of SECRET original classification decisions. (Sum of blocks 12 and 13)	0
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of **10 years or less**.	0
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions **ranging from over 10 years to 25 years**.	0
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 and 16)	0
18. Total number of original classification decisions. (Sum of blocks 11, 14, and 17)	0

PART D: DERIVATIVE CLASSIFICATION DECISIONS

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)

19. Enter the number of derivative TOP SECRET classification decisions during the reporting period.	2,968
20. Enter the number of derivative SECRET classification decisions during the reporting period.	7,859
21. Enter the number of derivative CONFIDENTIAL classification decisions during the reporting period.	1,906
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, and 21)	12,733

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| Fiscal Year | 2011 | Department or Agency | Treasury Department |

PART E: MANDATORY DECLASSIFICATON REVIEW REQUESTS AND APPEALS

A "request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part J below where the number of requests or appeals carried forward between reporting period changes.

23. Enter the number of requests carried over from the previous reporting period. (Block 25 on last year's report)	7
24. Enter the number of new requests received during the reporting period.	27
25. Enter the number of requests carried over to the next reporting period.	25
26. Enter the number of appeals carried over from the previous reporting period. (Block 28 on last year's report)	0
27. Enter the number of new appeals received during the reporting period.	0
28. Enter the number of appeals carried over to the next reporting period.	0

PART F: MANDATORY DECLASSIFICATON REVIEW DECISIONS IN PAGES

29. Enter the number of requested pages that were declassified in full.	58
30. Enter the number of requested pages that were declassified in part.	0
31. Enter the number of requested pages that were denied declassification.	0
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	58
33. Enter the number of appealed pages that were declassified in full.	0
34. Enter the number of appealed pages that were declassified in part.	0
35. Enter the number of appealed pages that were denied declassification.	0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, and 35)	0

PART G: AUTOMATIC, SYSTEMATIC, AND DISCRETIONARY DECLASSIFICATION REVIEWS

37. Enter the number of pages reviewed that were subject to automatic declassification under section 3.3 of E.O. 13526.	0
38. Enter the number of pages declassified under section 3.3 of E.O. 13526.	0
39. Enter the number of pages reviewed that were subject to systematic declassification under section 3.4 of E.O. 13526.	0
40. Enter the number of pages declassified under section 3.4 of E.O. 13526.	0
41. Enter the number of pages reviewed that were subject to discretionary declassification under section 3.1 of E.O. 13526.	0
42. Enter the number of pages declassified under section 3.1 of E.O. 13526.	0

PART H: INTERNAL AGENCY OVERSIGHT

43. Enter the number of inspections, surveys, or program reviews conducted by your agency, covering any aspect of the security classification program, completed during the reporting period.	9
44. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (E.O. 13526, section 1.8)	0
45. Enter the number of challenges where the classification status was fully affirmed.	0
46. Enter the number of challenges where the classification status was overturned in whole or in part.	0

PART I: CLASSIFICATION GUIDES

47. Enter the number of security classification guides created by your agency and currently in use.	1

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| Fiscal Year | 2011 | | Department or Agency | Treasury Department |

PART J: EXPLANATORY COMMENTS

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report. Additionally, select the sampling process your agency used in developing this year's report.

Sampling Period:	Part G - Treasury has reviewed classified records subject to auto-declass through mid 1990.
2-Week _____	
4-Week _____	
8-Week _____	
One Year _____	
Multiplier Applied:	
None _____	
Other (Specify) _____	

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AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information	
1. Enter the **Fiscal Year** that this report covers.	1. **2012**
2. Identify the **Department, Independent agency, or Establishment** that is covered by this report.	2. Department of the Treasury
3. Enter the name, title, address, phone, fax, and e-mail address of the **Senior Agency Official** (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. (b) (6)
4. Enter the name, title, phone, fax, and e-mail address for the **point-of-contact** responsible for answering questions about this report.	4. (b) (6) (b) (6) (b) (6)

PART B: Officials with Original Classification Authority		
5. Enter the number of agency officials whose **highest level** of original classification authority is **TOP SECRET**.	5.	11
6. Enter the number of agency officials whose **highest level** of original classification authority is **SECRET**.	6.	2
7. Enter the number of agency officials whose **highest level** of original classification authority is **CONFIDENTIAL**.	7.	0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8.	13

PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)

9. Enter the number of **original TOP SECRET** classification decisions made during the reporting period **with declassification instructions of 10 years or less**.	9.	0
10. Enter the number of **original TOP SECRET** classification decisions made during the reporting period **with declassification instructions ranging from over 10 years to 25 years.**	10.	0
11. **Total** number of **TOP SECRET** original classification decisions. (Sum of blocks 9 & 10).	11.	0
12. Enter the number of **original SECRET** classification decisions made during the reporting period **with declassification instructions of 10 years or less.**	12.	0
13. Enter the number of **original SECRET** classification decisions made during the reporting period **with declassification instructions ranging from over 10 years to 25 years.**	13.	3
14. **Total** number of **SECRET** original classification decisions. (Sum of blocks 12 & 13)	14.	3
15. Enter the number of **original CONFIDENTIAL** classification decisions made during the reporting period **with declassification instructions of 10 years or less**.	15.	1
16. Enter the number of **original CONFIDENTIAL** classification decisions made during the reporting period **with declassification instructions ranging from over 10 years to 25 years.**	16.	0
17. **Total** number of **CONFIDENTIAL** original classification decisions. (Sum of blocks 15 & 16)	17.	1
18. **Total** number of original classification decisions. (Sum of blocks 11, 14, & 17)	18.	4

PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)

19. Enter the number of **derivative TOP SECRET** classification decisions made during the reporting period.	19.	6325
20. Enter the number of **derivative SECRET** classification decisions made during the reporting period.	20.	11516
21. Enter the number of **derivative CONFIDENTIAL** classification decisions made during the reporting period.	21.	2338
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22.	20179

PART E: Mandatory Declassification Review Requests and Appeals A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. **For blocks 23-30, report only requests and appeals in which your agency is responsible for the final release.**		
23. Enter the number of **requests** received during the reporting period.	23.	9
24. Enter the number of **requests** closed during the reporting period.	24.	34
25. Enter the number of **requests** that have been unresolved for over one year.	25.	0
26. Enter the **average number of days** to resolve each request.	26.	
27. Enter the number of **appeals** received during the reporting period.	27.	0
28. Enter the number of **appeals** closed during the reporting period.	28.	0
29. Enter the number of **appeals** that have been unresolved for over one year.	29.	0
30. Enter the **average number of days** to resolve each appeal.	30.	0
For blocks 31-32, report the number of requests and appeals that were referred to your agency, and the referring agency is responsible for the final release.		
31. Enter the number of **referred requests** received during the reporting period.	31.	0
32. Enter the number of **referred appeals** received during the reporting period.	32.	0
PART F: Mandatory Declassification Review Decisions in Pages **Count only those pages documented in blocks 23-30 above.**		
33. Enter the number of **requested pages** that were declassified **in full**.	33.	60
34. Enter the number of **requested pages** that were declassified **in part**.	34.	0
35. Enter the number of **requested pages** that were **denied** declassification.	35.	0
36. Total number of **requested** pages acted on. (Sum of blocks 33, 34, & 35)	36.	60
37. Enter the number of **appealed pages** that were declassified **in full**.	37.	0
38. Enter the number of **appealed pages** that were declassified **in part**.	38.	0
39. Enter the number of **appealed pages** that were **denied** declassification.	39.	0
40. Total number of **appealed pages** acted on. (Sum of blocks 37, 38, & 39)	40.	0
PART G: Automatic, Systematic, and Discretionary Declassification Reviews		
41. Enter the number of pages **reviewed** that were subject to **automatic declassification** under section 3.3 of E.O. 13526.	41.	200
42. Enter the number of pages **declassified** under **automatic declassification**.	42.	200
43. Enter the number of pages **reviewed** that were subject to **systematic declassification** under section 3.4 of E.O. 13526.	43.	0
44. Enter the number of pages **declassified** under **systematic declassification**.	44.	0
45. Enter the number of pages **reviewed** that were subject to **discretionary declassification** under section 3.1 of E.O. 13526.	45.	0
46. Enter the number of pages **declassified** under **discretionary declassification**.	46.	0
PART H: Internal Agency Oversight		
47. Enter the number of **self-inspections conducted** by your agency, covering any aspect of the security classification program, during the reporting period.	47.	16
48. Enter the number of **challenges** processed by your agency to the classification of information believed to be **improperly classified or unclassified**. (E.O. 13526, section 1.8)	48.	0
49. Enter the number of **challenges** where the classification status was **fully affirmed**.	49.	0
50. Enter the number of **challenges** where the classification status was **overturned in whole or in part**.	50.	0
51. Enter the number of **security classification guides** created by your agency and **currently in use**.	51.	7

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information		
1. Enter the **Fiscal Year** that this report covers.	1.	2013
2. Identify the **Department, Independent agency, or Establishment** that is covered by this report.	2.	Department of the Treasury
3. Enter the name, title, address, phone, fax, and e-mail address of the **Senior Agency Official** (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. Michael W. Mason, Acting DAS for Security, (b) (6) FAX (b) (6)	
4. Enter the name, title, phone, fax, and email address for the **point-of-contact** responsible for answering questions about this report.	4. (b) (6) , Assistant Director (Information Security) (b) (6)	

PART B: Officials with Original Classification Authority		
5. Enter the number of agency officials whose **highest level** of original classification authority is **TOP SECRET**.	5.	11
6. Enter the number of agency officials whose **highest level** of original classification authority is **SECRET**.	6.	2
7. Enter the number of agency officials whose **highest level** of original classification authority is **CONFIDENTIAL**.	7.	0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8.	13

PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)

9. Enter the number of **original TOP SECRET** classification decisions made during the reporting period **with declassification instructions of 10 years or less.**	9.	0
10. Enter the number of **original TOP SECRET** classification decisions made during the reporting period **with declassification instructions ranging from over 10 years to 25 years.**	10.	0
11. **Total** number of **TOP SECRET** original classification decisions. (Sum of blocks 9 & 10).	11.	0
12. Enter the number of **original SECRET** classification decisions made during the reporting period **with declassification instructions of 10 years or less.**	12.	0
13. Enter the number of **original SECRET** classification decisions made during the reporting period **with declassification instructions ranging from over 10 years to 25 years.**	13.	0
14. **Total** number of **SECRET** original classification decisions. (Sum of blocks 12 & 13)	14.	0
15. Enter the number of **original CONFIDENTIAL** classification decisions made during the reporting period **with declassification instructions of 10 years or less.**	15.	0
16. Enter the number of **original CONFIDENTIAL** classification decisions made during the reporting period **with declassification instructions ranging from over 10 years to 25 years.**	16.	0
17. **Total** number of **CONFIDENTIAL** original classification decisions. (Sum of blocks 15 & 16)	17.	0
18. **Total** number of original classification decisions. (Sum of blocks 11, 14, & 17)	18.	0

PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)

19. Enter the number of **derivative TOP SECRET** classification decisions made during the reporting period.	19.	77,727
20. Enter the number of **derivative SECRET** classification decisions made during the reporting period.	20.	64,155
21. Enter the number of **derivative CONFIDENTIAL** classification decisions made during the reporting period.	21.	3,676
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22.	145,558

PART E: Mandatory Declassification Review Requests and Appeals

A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. **Report only requests for your agency in which your agency is responsible for the final decision.**

23. Enter the number of **requests** received during the reporting period.	23.	19
24. Enter the number of **requests** closed during the reporting period.	24.	14
25. Enter the number of **requests** that have been unresolved for over one year.	25.	5
26. Enter the **average number of days** to resolve each request.	26.	60
27. Enter the number of **appeals** received during the reporting period.	27.	0
28. Enter the number of **appeals** closed during the reporting period.	28.	0
29. Enter the number of **appeals** that have been unresolved for over one year.	29.	0
30. Enter the **average number of days** to resolve each appeal.	30.	0
31. Enter the number of **referred requests** received during the reporting period.	31.	0
32. Enter the number of **referred appeals** received during the reporting period.	32.	0

PART F: Mandatory Declassification Review Decisions in Pages

33. Enter the number of **requested pages** that were declassified **in full**.	33.	160
34. Enter the number of **requested pages** that were declassified **in part**.	34.	0
35. Enter the number of **requested pages** that were **denied** declassification.	35.	0
36. Total number of **requested** pages acted on. (Sum of blocks 33, 34, & 35)	36.	160
37. Enter the number of **appealed pages** that were declassified **in full**.	37.	0
38. Enter the number of **appealed pages** that were declassified **in part**.	38.	0
39. Enter the number of **appealed pages** that were **denied** declassification.	39.	0
40. Total number of **appealed pages** acted on. (Sum of blocks 37, 38, & 39)	40.	0

PART G: Automatic, Systematic, and Discretionary Declassification Reviews

41. Enter the number of pages **reviewed** that were subject to **automatic declassification** under section 3.3 of E.O. 13526.	41.	0
42. Enter the number of pages **declassified** under **automatic declassification**.	42.	0
43. Enter the number of pages **reviewed** that were subject to **systematic declassification** under section 3.4 of E.O. 13526.	43.	0
44. Enter the number of pages **declassified** under **systematic declassification**.	44.	0
45. Enter the number of pages **reviewed** that were subject to **discretionary declassification** under section 3.1 of E.O. 13526.	45.	0
46. Enter the number of pages **declassified** under **discretionary declassification**.	46.	0

PART H: Internal Agency Oversight

47. Enter the number of **self-inspections conducted** by your agency, covering any aspect of the security classification program, during the reporting period.	47.	26
48. Enter the number of **challenges** processed by your agency to the classification of information believed to be **improperly classified or unclassified**. (E.O. 13526, section 1.8)	48.	0
49. Enter the number of **challenges** where the classification status was **fully affirmed**.	49.	0
50. Enter the number of **challenges** where the classification status was **overturned in whole or in part**.	50.	0
51. Enter the number of **security classification guides** created by your agency and **currently in use**.	51.	9

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PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

Item 26 - This is guesstimate, the actual time spent on handling individual cases should be less once the reviewing component actually reviews particular documentation.

Part D - Increased numbers are largely from the **(b) (7)(E)** The latter used two-week sampling for the first time in FY 2013. Both offices are expected to use sampling again in FY 2014 given their increased volume of derivative classification activity.

For ISOO Use Only

ISOO Analyst Name: **(b) (6)** _____

Date of QC: _____

Analyst Initials: **(b) (6)** _____

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DEPARTMENT OF THE TREASURY
WASHINGTON, D.C. 20220

November 12, 2014

John P. Fitzpatrick, Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, DC 20408-0001

Dear John,

Enclosed is the completed Standard Form 311 for the Department of the Treasury reflecting classification and related activity for FY 2014.

Please contact (b) (6) on (b) (6) if you have any questions.

Sincerely,

(b) (6)

Charles J. Cavella, Jr.
Deputy Assistant Secretary for Security

Enclosure

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information

1. Enter the **Fiscal Year** that this report covers.	1. 2014
2. Identify the **Department, Independent agency, or Establishment** that is covered by this report.	2. Department of theTreasury
3. Enter the name, title, address, phone, fax, and e-mail address of the **Senior Agency Official** (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. Charles J. Cavella, Jr., DAS for Security (b) (6) charles.cavella@treasury.gov
4. Enter the name, title, phone, fax, and email address for the **point-of-contact** responsible for answering questions about this report.	4. (b) (6) Senior Investigator (b) (6) (b) (6)

PART B: Officials with Original Classification Authority

5. Enter the number of agency officials whose highest level of original classification authority is **TOP SECRET**.	5.	11
6. Enter the number of agency officials whose highest level of original classification authority is **SECRET**.	6.	2
7. Enter the number of agency officials whose highest level of original classification authority is **CONFIDENTIAL**.	7.	0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8.	13

PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)

9. Enter the number of original **TOP SECRET** classification decisions made during the reporting period with **declassification instructions of 10 years or less**.	9.	0
10. Enter the number of original **TOP SECRET** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years**.	10.	0
11. **Total number of TOP SECRET** original classification decisions. (Sum of blocks 9 & 10).	11.	0
12. Enter the number of original **SECRET** classification decisions made during the reporting period with **declassification instructions of 10 years or less**.	12.	0
13. Enter the number of original **SECRET** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years**.	13.	6
14. **Total number of SECRET** original classification decisions. (Sum of blocks 12 & 13)	14.	6
15. Enter the number of original **CONFIDENTIAL** classification decisions made during the reporting period with **declassification instructions of 10 years or less**.	15.	0
16. Enter the number of original **CONFIDENTIAL** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years**.	16.	4
17. **Total number of CONFIDENTIAL** original classification decisions. (Sum of blocks 15 & 16)	17.	4
18. **Total number of original classification decisions**. (Sum of blocks 11, 14, & 17)	18.	10

PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)

19. Enter the number of derivative **TOP SECRET** classification decisions made during the reporting period.	19.	81,625
20. Enter the number of derivative **SECRET** classification decisions made during the reporting period.	20.	60,777
21. Enter the number of derivative **CONFIDENTIAL** classification decisions made during the reporting period.	21.	3,390
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22.	145,792

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PART E: Mandatory Declassification Review Requests and Appeals

A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request.
Report only requests for your agency in which your agency is responsible for the final decision.

23. Enter the number of **requests** received during the reporting period.	23.	96
24. Enter the number of **requests** closed during the reporting period.	24.	101
25. Enter the number of **requests** that have been unresolved for over one year.	25.	0
26. Enter the **average number of days** to resolve each request.	26.	30
27. Enter the number of **appeals** received during the reporting period.	27.	0
28. Enter the number of **appeals** closed during the reporting period.	28.	0
29. Enter the number of **appeals** that have been unresolved for over one year.	29.	0
30. Enter the **average number of days** to resolve each appeal.	30.	0
31. Enter the number of **referred requests** received during the reporting period.	31.	0
32. Enter the number of **referred appeals** received during the reporting period.	32.	0

PART F: Mandatory Declassification Review Decisions in Pages

33. Enter the number of **requested** pages that were declassified **in full**.	33.	1,148
34. Enter the number of **requested** pages that were declassified **in part**.	34.	0
35. Enter the number of **requested** pages that were **denied** declassification.	35.	0
36. Total number of **requested** pages acted on. (Sum of blocks 33, 34, & 35)	36.	1,148
37. Enter the number of **appealed** pages that were declassified **in full**.	37.	0
38. Enter the number of **appealed** pages that were declassified **in part**.	38.	0
39. Enter the number of **appealed** pages that were **denied** declassification.	39.	0
40. Total number of **appealed** pages acted on. (Sum of blocks 37, 38, & 39)	40.	0

PART G: Automatic, Systematic, and Discretionary Declassification Reviews

41. Enter the number of pages reviewed that were subject to **automatic declassification** under section 3.3 of E.O. 13526.	41.	0
42. Enter the number of pages declassified under **automatic declassification**.	42.	0
43. Enter the number of pages reviewed that were subject to **systematic declassification** under section 3.4 of E.O. 13526.	43.	0
44. Enter the number of pages declassified under **systematic declassification**.	44.	0
45. Enter the number of pages reviewed that were subject to **discretionary declassification** under section 3.1 of E.O. 13526.	45.	0
46. Enter the number of pages declassified under **discretionary declassification**.	46.	0

PART H: Internal Agency Oversight

47. Enter the number of **self-inspections conducted** by your agency, covering any aspect of the security classification program, during the reporting period.	47.	49
48. Enter the number of **challenges** processed by your agency to the classification of information believed to be **improperly classified or unclassified**. (E.O. 13526, section 1.8)	48.	0
49. Enter the number of **challenges** where the classification status was **fully affirmed**.	49.	0
50. Enter the number of **challenges** where the classification status was **overturned in whole or in part**.	50.	0
51. Enter the number of **security classification guides** created by your agency and **currently in use**.	51.	8

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PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

Part D- Numbers include sampling estimates from Treasury components with high volumes as recommended in ISOO letter of July 17, 2014.

For ISOO Use Only

ISOO Analyst Name: _____

Date of QC: _____

Analyst Initials: _____

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AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information

1. Enter the Fiscal Year that this report covers.	1.	2015
2. Identify the Department, Independent agency, or Establishment that is covered by this report.	2. Department of theTreasury	
3. Enter the name and title of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. Michael W. Mason, Deputy Assistant Secretary for Security	
4. Enter the name, title, phone, and email address for the point-of-contact responsible for answering questions about this report.	4. (b) (6) Deputy Director, OSP, (b) (6)	

PART B: Officials with Original Classification Authority

5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.	5.	11
6. Enter the number of agency officials whose highest level of original classification authority is SECRET.	6.	2
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.	7.	0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8.	13

PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)

9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	9.	0
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	10.	0
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10).	11.	0
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	12.	0
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	13.	15
14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)	14.	15
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.	15.	15
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	16.	0
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)	17.	15
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	18.	30

PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)

19. Enter the number of derivative TOP SECRET classification decisions made during the reporting period.	19.	~~58,550~~ 54,678
20. Enter the number of derivative SECRET classification decisions made during the reporting period.	20.	~~83,359~~ 83,823
21. Enter the number of derivative CONFIDENTIAL classification decisions made during the reporting period.	21.	~~5,876~~ 5,920
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22.	~~147,785~~ 144,421

Department of theTreasury

PART E: Mandatory Declassification Review Requests and Appeals

A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision.

23. Enter the number of requests received during the reporting period.	23.	37
24. Enter the number of requests closed during the reporting period.	24.	24
25. Enter the number of requests that have been unresolved for over one year.	25.	7
26. Enter the average number of days to resolve each request.	26.	273
27. Enter the number of appeals received during the reporting period.	27.	0
28. Enter the number of appeals closed during the reporting period.	28.	0
29. Enter the number of appeals that have been unresolved for over one year.	29.	0
30. Enter the average number of days to resolve each appeal.	30.	0
31. Enter the number of referred requests received during the reporting period.	31.	9
32. Enter the number of referred appeals received during the reporting period.	32.	0

PART F: Mandatory Declassification Review Decisions in Pages

33. Enter the number of requested pages that were declassified in full.	33.	28
34. Enter the number of requested pages that were declassified in part.	34.	0
35. Enter the number of requested pages that were denied declassification.	35.	0
36. Total number of requested pages acted on. (Sum of blocks 33, 34, & 35)	36.	28
37. Enter the number of appealed pages that were declassified in full.	37.	0
38. Enter the number of appealed pages that were declassified in part.	38.	0
39. Enter the number of appealed pages that were denied declassification.	39.	0
40. Total number of appealed pages acted on. (Sum of blocks 37, 38, & 39)	40.	0

PART G: Automatic, Systematic, and Discretionary Declassification Reviews

41. Enter the number of pages reviewed that were subject to automatic declassification under section 3.3 of E.O. 13526.	41.	14
42. Enter the number of pages declassified under automatic declassification.	42.	0
43. Enter the number of pages reviewed that were subject to systematic declassification under section 3.4 of E.O. 13526.	43.	0
44. Enter the number of pages declassified under systematic declassification.	44.	0
45. Enter the number of pages reviewed that were subject to discretionary declassification under section 3.1 of E.O. 13526.	45.	0
46. Enter the number of pages declassified under discretionary declassification.	46.	0

PART H: Internal Agency Oversight

47. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (E.O. 13526, section 1.8)	47.	0
48. Enter the number of challenges where the classification status was fully affirmed.	48.	0
49. Enter the number of challenges where the classification status was overturned in whole or in part.	49.	0

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

Part C- International Affairs (IA) reported an approximately 100% increase in original classification decisions (FY 2014 - 6 to FY 2015- 15)

Part D-Numbers include sampling estimates from Treasury components with high volumes as recommended in ISOO Guidelines for FY 2015 SF 311 Data Collection, dated August 11, 2015.

Part E - Reason for increased average number of days to resolve MDR requests was due to working through an existing backlog.

Part F - Reason for decrease this FY was due to the high volume of MDR requests that were determined to be invalid MDRs

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For ISOO Use Only

ISOO Analyst Name: _____

Date of QC: _____

Analyst Initials: _____

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DEPARTMENT OF THE TREASURY
WASHINGTON, D.C.

November 14, 2016

William Cira, Acting Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW Washington, DC 20408-0001

Dear William,

Enclosed please find the completed Standard Form 311 Data Report for the Department of the Treasury reflecting classification and related activity for FY2016.

Please contact (b) (6) on (b) (6) if you have any questions.

Sincerely,

(b) (6)

Michael W. Mason
Deputy Assistant Secretary for Security

Enclosure

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information		
1. Enter the Fiscal Year that this report covers.		1. 2016
2. Identify the Department, Independent agency, or Establishment that is covered by this report.	2. Treasury: Department of the Treasury	
3. Enter the name and title of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. (b) (6) , Deputy Assistant Secretary for Security	

4. **Point-of-contact** responsible for answering questions about this report:

4a. Name:	4a. (b) (6)
4b. Title:	4b. Information Security Specialist
4c. Email address:	4c. (b) (6)
4d. Phone number:	4d. (b) (6)

PART B: Officials with Original Classification Authority		
5. Enter the number of agency officials whose highest level of original classification authority is **TOP SECRET**.	5.	11
6. Enter the number of agency officials whose highest level of original classification authority is **SECRET**.	6.	2
7. Enter the number of agency officials whose highest level of original classification authority is **CONFIDENTIAL**.	7.	0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, and 7)	8.	13

PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)

9. Enter the number of original **TOP SECRET** classification decisions made during the reporting period with **declassification instructions of 10 years or less**.	9.	0
10. Enter the number of original **TOP SECRET** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years**.	10.	0
11. Total number of **TOP SECRET** original classification decisions. (Sum of blocks 9 and 10)	11.	0
12. Enter the number of original **SECRET** classification decisions made during the reporting period with declassification instructions of 10 years or less.	12.	0
13. Enter the number of original **SECRET** classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	13.	0
14. Total number of **SECRET** original classification decisions. (Sum of blocks 12 and 13)	14.	0
15. Enter the number of original **CONFIDENTIAL** classification decisions made during the reporting period with **declassification instructions of 10 years or less**.	15.	0
16. Enter the number of original **CONFIDENTIAL** classification decisions made during the reporting period with **declassification instructions ranging from over 10 years to 25 years**.	16.	0
17. Total number of **CONFIDENTIAL** original classification decisions. (Sum of blocks 15 and 16)	17.	0
18. Total number of original classification decisions. (Sum of blocks 11, 14, and 17)	18.	0

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PART D: Derivative Classification Decisions
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)

19. Enter the number of **derivative TOP SECRET** classification decisions made during the reporting period.	19.	26,252
20. Enter the number of **derivative SECRET** classification decisions made during the reporting period.	20.	47,565
21. Enter the number of **derivative CONFIDENTIAL** classification decisions made during the reporting period.	21.	552
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, and 21)	22.	74,369

PART E: Mandatory Declassification Review Requests
A "Request" is an individual initial review request, regardless of the number of documents or pages to be reviewed as part of the request. **Report only requests for your agency in which your agency is responsible for the final decision.**

23. Enter the number of **REQUESTS received** during the reporting period.	23.	3
24. Enter the number of **REQUESTS closed** during the reporting period.	24.	0
25. Of the REQUESTS entered into block 24, enter the number of pages that were:	25.	
a. Declassified in full.	25a.	0
b. Declassified in part.	25b.	0
c. Denied declassification.	25c.	0
d. Total number of requested pages acted on. (Sum of blocks 25a, 25b, and 25c)	25d.	0
26. Enter the number of **REQUESTS** that have been unresolved for over one year.	26.	0
27. Enter the **AVERAGE NUMBER OF DAYS** to resolve each request.	27.	0
28. Enter the number of **REFERRED REQUESTS** received during the reporting period. (Number of requests referred to your agency from another agency)	28.	3

PART F: Mandatory Declassification Review Appeals
An "Appeal" is an individual request for appeal, regardless of the number of documents or pages to be reviewed as part of the request. **Report only appeals for your agency in which your agency is responsible for the final decision.**

29. Enter the number of **APPEALS received** during the reporting period.	29.	0
30. Enter the number of **APPEALS closed** during the reporting period.	30.	0
31. Of the APPEALS entered into block 30, enter the number of pages that were:	31.	
a. Declassified in full.	31a.	0
b. Declassified in part.	31b.	0
c. Denied declassification.	31c.	0
d. Total number of appealed pages acted on. (Sum of blocks 31a, 31b, and 31c)	31d.	0
32. Enter the number of **APPEALS** that have been unresolved for over one year.	32.	0
33. Enter the **AVERAGE NUMBER OF DAYS** to resolve each appeal.	33.	0
34. Enter the number of **REFERRED APPEALS** received during the reporting period. (Number of appeals referred to your agency from another agency)	34.	0

Treasury: Department of the Treasury

PART G: Automatic, Systematic, and Discretionary Declassification Reviews		
35. Enter the number of pages REVIEWED that were subject to automatic declassification under section 3.3 of E.O. 13526.	35.	0
36. Enter the number of pages DECLASSIFIED under automatic declassification.	36.	0
37. Enter the number of pages REVIEWED that were subject to systematic declassification under section 3.4 of E.O. 13526.	37.	0
38. Enter the number of pages DECLASSIFIED under systematic declassification.	38.	0
39. Enter the number of pages REVIEWED that were subject to discretionary declassification under section 3.1 of E.O. 13526.	39.	0
40. Enter the number of pages DECLASSIFIED under discretionary declassification.	40.	0
PART H: Internal Agency Oversight		
41. Enter the number of CHALLENGES processed by your agency to the classification of information believed to be improperly classified or unclassified. (E.O. 13526, section 1.8)	41.	0
42. Enter the number of CHALLENGES where the classification status was fully affirmed.	42.	0
43. Enter the number of CHALLENGES where the classification status was overturned in whole or in part.	43.	
PART I: Intelligence Community (IC) Information		
This section applies ONLY to IC agencies and IC components of other agencies for inclusion in the ODNI annual report. If you are required to make a classified submission, please annotate that in Section J and send the data across the appropriate system.		
Enter the requested information regarding your organization's disseminated analytical product originally marked ORCON.		
44. Enter the PERCENTAGE of analytical product originally marked ORCON.	44.	2.82%
45. Enter the TOTAL NUMBER of analytical product originally marked ORCON.	45.	8
46. Enter the NUMBER OF RELIEF REQUESTS approved for further dissemination of ORCON in full.	46.	0
47. Enter the NUMBER OF RELIEF REQUESTS approved for further dissemination of ORCON in part. Provide rationale for denial in Part J below.	47.	0
48. Enter the number of ORCON relief requests DENIED (provide explanation in Part J below).	48.	0
Enter the requested information on your organization's analytical product originally marked ORCON-USGOV.		
49. Enter the PERCENTAGE of analytical product originally marked ORCON-USGOV.	49.	0.00%
50. Enter the TOTAL NUMBER of analytical product originally marked ORCON-USGOV.	50.	0
51. Enter the TOTAL NUMBER of reports of potential misapplication of the ORCON marking. Provide background information on each occurrence in Part J below.	51.	0
52. Has your organization been UNABLE TO RECEIVE OR SHARE INFORMATION in the performance of its mission due to ORCON or ORCON-USGOV? If yes, explain in Part J below.	52. N/A	
Enter the requested information on your organization's Workforce Training.		
53. Provide the PERCENTAGE of your workforce that has completed derivative classification training.	53.	0.00%
54. Provide the PERCENTAGE of your workforce that has completed ORCON/ORCON-USGOV specific training.	54.	0.00%

PART J: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

Part C - There were no Treasury original classification decisions reported for FY2016. The reason for significant change from last years report (FY15) to this years (FY16) reporting is due to Treasury's Office of Inspector General (OIG) evaluation of Department of Treasury's classification program, Reducing Over-Classification Act review. As a result of our OIG review, submitting offices were required to confirm some of the decisions reported as original classification decisions. It was determined that the original classification decisions should have been reported as derivative classification decisions. OSP has instituted new protocols upon receiving notice of any OCA decisions and will keep records of those decisions made by Treasury OCAs. This has significantly improved our overall original classification decision reporting.

Part D - Significant changes were due to defining our total population of classifiers, sampled a smaller percentage of population to estimate total number of derivative classification decisions for a two-week period. OSP provided more communication guidance and hands-on security assistance with the Treasury Bureau/Departmental Offices action offices collecting data of sample population to ensure completeness and accuracy of reports. Approximately 15-20% of employees, within bureaus and departmental offices, were surveyed to calculate derivative classification decisions for FY2016 for a two-week sampling period. Calculated the sum of C, S and TS derivative classification decisions made by respondents. During our SF-311 data call, Office of Intelligence and Analysis/Special Security Office (OIA/SSP) reported that it does not currently have a separate derivative classification training for SCI indoctrinated employees, however the annual SCI refresher training does have portions that cover derivative classification and primarily markings. A separate derivative classification training is currently being developed for all SCI indoctrinated employees which will cover ORCON and ORCON-USGOV and will be ready for FY2017. The OIA/Office of Security Program's (OIA/OSP) collateral security initial orientation/training and the FY2016 annual refresher training significantly expands on overall classification principals, and emphasizes the original and derivative classification requirements.

Part E - MDR requests are currently under review and are awaiting a final determination to be close out.

Part I - Information Sharing reported eight Disseminating Analytical Products (DAPs) containing Treasury originated ORCON out of 284 DAPs for FY16. This equated to 2.82% of our DAPs that contained Treasury originated ORCON.

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32 CFR 2001 E.O. 13526